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#### Abstract

In the present study I will examine the relationship between the *Vīracōliyam*, an 11<sup>th</sup> century grammar of Tamil by the Buddhist Puttamittiran, and the Sanskrit grammatical treatises that served as its likely models. Based on the sections that describe the kārakas "factors of an action", I have been able to establish that two texts in particular, the Kātantra and the Prayogamukha, heavily influenced Puttamittiran's presentation of the Tamil language. Furthermore, it has become evident by comparing the number and names of the sub-kārakas in these works with those in the Saddanīti, a Pali grammar of the 12th century, that the *Prayogamukha* travelled in Buddhist circles as one of the basic texts for extending Sanskrit grammar to foreign languages, a thesis that is further substantiated by the presence of the *Prayogamukha* in Tibetan during the first wave of translation.

## Kevwords

Buddhism, *Kātantra*, *Prayogamukha*, Puttamittiran, Sanskrit Grammar, Tamil Grammar, *Vīracōliyam*, *Vyākaraṇa* 

#### Résumé

J'examinerai, dans la présente étude, les relations entre le Vīracōliyam, une grammaire du tamoul composée au xi<sup>e</sup> s. par le bouddhiste Puttamittira, et les traités grammaticaux du sanskrit qui lui ont probablement servi de modèle. En me fondant sur les sections qui traitent des kāraka (« facteurs d'action»), j'ai pu établir que deux textes en particulier, le Kātantra et le Prayogamukha, avaient considérablement influencé la présentation que Puttamittiran fait de la langue tamoule. En outre, en comparant le nombre et les noms des sous-types de *kāraka* qui figurent dans ces textes à ceux que l'on trouve dans la Saddanīti, une grammaire du pali du XII<sup>e</sup> s., il apparaît de façon évidente que le *Prayogamukha* a été utilisé, au sein des cercles bouddhistes, comme texte de référence à partir duquel la grammaire sanskrite a pu être étendue à des langues étrangères; cette thèse est par ailleurs étayée par la présence du Prayogamukha au Tibet durant la première vague de traduction.

## Mots-clés

Bouddhisme, *Kātantra*, *Prayogamukha*, Puttamittiran, grammaire sanskrite, grammaire tamoule, *Vīracōliyam*, *Vyākaraṇa* 

## 1 Introduction

Toward the end of the first millennium CE<sup>1</sup> grammarians throughout South Asia and beyond began to adopt the structure and theory of Sanskrit grammars to new languages including those of the Dravidian family.<sup>2</sup> Earlier examples are, of course,

- 1 All dates are CE unless otherwise stated.
- 2 The first extent Tamil grammar, the *Tolkāppiyam* (T), likely dates back to the first half of the first millennium. Although it certainly evinces influence from the Sanskrit grammatical tradition, the T represents a much freer and innovative adoption than in the grammars under discussion here.

available for the Prakrit languages and Pāli, but the application of these models to Dravidian languages, structurally much further afield than the Sanskrit daughter languages, forced a variety of new theoretical choices in the description of the target languages as well as about their relation to Sanskrit. Understanding how these new grammars relate to their Sanskrit predecessors requires both a close reading of the grammars themselves and a fairly broad knowledge of the possible source texts. Work on the grammars of Dravidian languages has been rather uneven, and, excepting Tamil, only a relatively small number of studies exist on the grammars of Kannada, Malayalam, and Telugu. Even in the case of Tamil, for which there is more extensive secondary literature, <sup>3</sup> there remains substantial work to be done on the numerous grammars of the second millennium before they can be properly situated in the history of grammatical literature in India.

One such Tamil grammar, the *Vīracōliyam* (VC), composed in the 11<sup>th</sup> century by Puttamittiran and commented on by Peruntēvan in the 12<sup>th</sup>, represents an attempt to incorporate Sanskritic elements into the grammatical and poetological description of Tamil even though a more suited grammar cum poetics for Tamil, the *Tolkāppiyam* (T), had long been in existence. As I and others have discussed elsewhere, the motivation for creating such a grammar at the time and place that Puttamittiran did is complex and stems not only from the general milieu in which the vernacular languages looked to Sanskrit as a model of a literary language regulated by a grammar, but also from Puttamittiran's personal interest to convey Sanskrit knowledge to a Tamil audience. In addition, the Buddhist literary

- 3 Subramanya Sastri (1997), Meenakshisundaran (1974) and Meenakshi (1984) cover Sanskrit influence on Tamil grammars more generally. In my forthcoming article on the VC, I discuss the secondary literature in more detail.
- 4 Monius (2001 & 2013) are two of the most recent and in depth publications on the VC and should be consulted for further information about the historical and cultural background of the grammar. The date of Puttamittiran is secured thanks to his references to Vīracōlan / Vīrarācentiran = Skt. Vīrarājendra. The date of the commentator, Peruntēvan is somewhat more problematic. See Zvelebil (1997, p. 555, 587 & 772) on Peruntēvan, Puttamittiran, and the Vīracōliyam, respectively.
- 5 This is in sharp contradistinction to the grammars of the three other major Dravidian languages, Telugu, Kannada, and Malayalam, where the first grammars of these languages followed Sanskrit models far more closely than the T. Grammars for Kannada start in the 9<sup>th</sup> cent. with the *Kavirājamārga*, although this is more of a work on poetics with some grammatical discussions. More full-fledged grammars followed in the 12<sup>th</sup> and 13<sup>th</sup> centuries, viz. the *Karṇātakabhāṣābhūṣaṇa* of Nāgavarman II and the *Śabdamaṇidarpana* of Kēśirāja. Cf. Kulli (1976). The beginning of the Telugu grammatical tradition is difficult to pin down owing to the likely spurious ascription of the *Āndhraśabdacintāmaṇi* (in Sanskrit!) to Nannaya Bhaṭṭāraka (11<sup>th</sup> cent.; see the contribution of Patel in this issue). In any case, the tradition was off and running by the 13<sup>th</sup> cent. with the appearance of the *Āndhrabhāṣābhūṣaṇamu* (in Telugu) by Mūlaghaṭika Kētana. For a summary of Telugu grammars see Purushottam (1996, p. 3–37). Malayalam received its first grammar only in the 14<sup>th</sup> cent. with the *Līlātilakam* (in Sanskrit). For a précis of the premodern grammars for Dravidian languages, see Annamalai (2016, p. 716–734).

community that Puttamittiran belonged to clearly determined which texts he used as models. The present paper, a continuation of a preceding article, will focus on detailing the system of *kārakas* "factors of an action" in the VC and which texts served as its sources. As I have demonstrated in the aforementioned essay, this line of research helps to illuminate both how the source material has been appropriated for a different language and, conversely, which Sanskrit sources had importance for the literary circles during the period in question. As I will show, to understand the VC, we must look outside of the Pāṇinian school at works hardly studied nowadays, especially the *Prayogamukha* (PM), but ones that are paramount for understanding the development and spread of grammar in South Asia.

# 2 Kārakas and Case

# 2.1 Introduction to Kāraka Theory

The relation between an object, as denoted by a noun, and an action, as expressed by a verbal root, forms one of the core facets of Sanskrit grammar. To describe these relations, Pāṇini has defined in the Astādhyāyī (A) six kārakas "factors" which can be applied to both nominal stems involved in an action as well as to suffixes added to a verbal root. For example, if one has the three elements devadatta (nomen proprium), odana "rice", and pac "to cook", the speaker will label the two nouns according to the role they are to play in the syntactic unit. If Devadatta is independently responsible for the act of cooking, he will receive the designation kartr "agent", 8 and if the rice is the thing which one most wants to obtain through the act of cooking, it is labelled the *karman* "patient". Similarly, the 3<sup>rd</sup> pers. sing. ending -ti in pacati "cooks" is likewise said to express the agent, hence we know it is an active verb in the sentence devadatta odanam pacati "Devadatta cooks rice". Within the framework of Pāṇini's grammar these labels serve primarily to produce correct morphology. The simplest application is that a noun labelled with a particular kāraka will take a particular case. If, for example, a noun has been labelled the karana "instrument" of an action, then it will receive the third or "instrumental" case by P. 2.3.18 kartṛkaraṇayos tṛṭt̄yā "there is the third (instr.) case when (the noun) expresses the agent or instrument (provided neither are

<sup>6 (</sup>D'Avella forthcoming).

<sup>7</sup> For the complete list see p. 71 below. The relevant sūtras are P. 1.4.23–55. For a more detailed description and analysis of the topic see (Joshi & Roodbergen 1975, p. i–xix). A succinct and accurate summary is given in Vergiani (2013, p. 162–166). For the sake of uniformity, I have consistently used the stem kāraka throughout even in reference to Tamil texts, for which one would properly use kārakam. I refer to the sūtras of Pāṇini's Aṣṭādhyāyī in the following format: P. X.Y.Z where X is the adhyāya, Y the pāda and Z the sūtra number.

<sup>8</sup> By P. 1.4.54 svatantrah kartā "The independent one is the agent."

<sup>9</sup> By P. 1.4.49 *kartur īpsitatamam karma* "What is most desired on the part of the agent is the *karman*."

already expressed)."<sup>10</sup> Similarly, if it is labelled *karman*, it takes the second or accusative case by P. 2.3.2 *karmani dvitīyā* "the second (acc.) case occurs when the patient is expressed (provided it is not already expressed)." It is important to note that in the sūtra the word for the second case ( $dvitīy\bar{a}$ ) is in the nominative and the corresponding word for the patient  $k\bar{a}raka$  (karmani) is in the locative, since this syntax will be repeated in the VC.

Each kāraka does not, however, correspond to one case ending, and the addition of the overt case is contingent on several conditions, including the type of suffix added to the verbal root. At present the most important condition is that for rules like P. 2.3.2 and 18 to apply, a noun's *kāraka* must not be expressed elsewhere in the relevant syntactic unit. In effect, this means that if a suffix added to a verbal root expresses the same kāraka as a noun involved in the action expressed by that root, then the general rules for adding a case to that noun are barred. 11 Accordingly, when the speaker wishes the personal ending -ti in pacati to express the kartr "agent", then we can no longer add the instr. to devadatta by P. 2.3.18, because the kartr is already expressed. In such instances, the nominative case will be used since it is not associated with any particular  $k\bar{a}raka$ , only the meaning, etc. of the nominal stem. <sup>12</sup> Therefore, devadatta stands in the nominative in the sentence devadatta<sub>nom.</sub> odanamacc. pacati "Devadatta<sub>nom.</sub> cooks<sup>13</sup> rice acc", and not the instrumental. On the other hand, if the personal ending added to pac should express the karman "patient", then P. 2.3.2 is barred, and odana "rice" will take the nominative case. Since the personal ending does not express the agent in this case, devadatta will receive the instrumental case ending by P. 2.3.18, and the resulting sentence is: odano<sub>nom.</sub> devadattena<sub>instr.</sub> pacyate "Rice<sub>nom.</sub> is cooked<sup>14</sup> by Devadatta<sub>instr.</sub>" Through the introduction of the condition *anabhihite* at the beginning of P. 2.3 and leaving the nominative case without a specific kāraka, Pānini is able to account for

<sup>10</sup> In many Sanskrit as well as Tamil grammars, the cases are referred to by the ordinal numerals "first" through "seventh". In Latinate terminology these correspond to the nominative, accusative, instrumental, dative, ablative, genitive, and locative, respectively. Unlike their Latinate counterparts, the Sanskrit designations for the cases bear no semantic value, a prudent strategy since one and the same case can express very different relations, as will be seen below. I will retain the cardinal numerals with the corresponding Latinate case name in parentheses when translating from Sanskrit. Elsewhere I will use the Latinate terms.

<sup>11</sup> The rules that relate the cases to nouns labelled with a *kāraka* occur in P. 2.3. This section of the grammar is headed by the rule P. 2.3.1 *anabhihite* "if not already expressed," which, being in the loc., modifies the *kāraka*s in the following rules and permits them to apply only when the *kāraka* in question is not expressed elsewhere in the relevant syntactic unit. Traditionally, the *kāraka* can be expressed by four elements: personal endings, *kṛt* "primary" suffixes, *taddhita* "secondary" suffixes, and compounding. Cf. *Kāśikāvṛtti* (KV) ad P. 2.3.1.

<sup>12</sup> By P. 2.3.46 *prātipadikārthalingaparimāṇavacanamātre prathamā* "The first (nom.) case occurs only to express the meaning, gender, measure and number of the nominal stem."

<sup>13</sup> The ending -ti in pacati expresses the agent by P. 3.4.69.

<sup>14</sup> The ending -te in pacyate expresses the patient by P. 3.4.69.

active and passive constructions in a quite ingenious manner. Although one could add much detail to my brief summary of the  $k\bar{a}raka$ s in the A, for our present purpose the above outline will suffice.

In the subsequent commentarial literature on the A, the kārakas generated erudite and philosophically deep discussions about the nature of linguistic expression and how the speaker wished to describe the external world, emanating, as always, out of discussions about Pānini's sūtras and how they can or cannot account for linguistic usage. Of lasting importance is the Sādhana-samuddeśa "lesson on factors" in the Vākyapadīya (VP) by Bhartrhari (5<sup>th</sup> cent.), where the theoretical discussions of the *Mahābhāsya* (MBh) of Patañjali (2<sup>nd</sup> cent. BCE?) are summarized and elaborated in verses called *kārikās*. One point of interest was the subdivision of the kārakas so that one could speak more precisely about an agent, patient, etc. in relation to a verbal action: the act of making a pot has a very different kind of effect on the patient (karman) than the act of looking at the sun. Membership to some of these subclasses also has formal linguistic implications. 15 Although Bhartrhari adduced several of these subvarieties from the MBh and introduced some of his own, later authors expanded and refined this list. 16 At some point, these "sub-kārakas" became part of the basic curriculum in Sanskrit grammar and the number of subtypes were versified in the very popular introductory work variously known as the *Prayogamukha* (PM), *Vārarucasaṃgraha* or, in a shortened form, Kārakacakra (not to be confused with the work by Purusottamadeva), about which I will have much more to say shortly. 17 This work along with a commentary attributed to Dharmakīrti clearly served as the basis for sections of the VC, a fact already noted with regard to the tokaip-patalam "Section on Compounds". 18 The theory of *kārakas* also disseminated into later non-Pāninian grammars in different ways; some remained relatively faithful to Pānini's

<sup>15</sup> For example, only certain types of *karman*, the *nirvartya* and *vikārya*, can occur in *karmavadbhāva* "(the agent) being like the direct object" constructions, such as *pacyate odanaḥ svayam eva* "the rice cooks by itself." See Vergiani (2013, p. 175) for further detail on the relevance of the *karman* subtypes for the application of Pāṇinian sūtras.
16 The *Mahāvṛtti* "Great Gloss" by Abhayanandin (7<sup>th</sup> cent.) on the *Jainendravyākaraṇa* (JV) of

<sup>16</sup> The Mahāvrtti "Great Gloss" by Abhayanandin (7<sup>th</sup> cent.) on the Jainendravyākaraṇa (JV) of Devanandin (5<sup>th</sup> cent. CE?) is likely the first extant source to give versified lists of sub-kārakas ad JV 1.2.116 (adhikaraṇa) and 1.2.120 (karman) that do not stem from the VP, albeit the content is similar. On the latter, see Vergiani (2013, p. 182f.). All references to the JV are according the version of the grammar with the Mahāvrtti.

<sup>17</sup> NB that only the number of subvarieties is given in the verses. Their names had to be supplied by a teacher or written commentary.

<sup>18</sup> Subrahmanya Sastri (1997, p. 209–212) remarks that the section on compounds (*tokai*) in the VC and *Pirayōkavivēkam* are "exactly the translation of the *kārika*s on *samāsa* assigned to the authorship of Vararuci in Sanskrit." As I will demonstrate for the present section, Puttamittiran must have specifically known the commentary by Dharmakīrti in one form or another.

definitions and overall plan, <sup>19</sup> while others altered the terminology and simplified the scheme at the sacrifice of theoretical elegance and rigor. <sup>20</sup> For the VC, these non-Pāṇinian grammars were of as much importance as Pāṇini's A (if not more so), and their customary place in the backseat of scholarship on the history of Sanskrit grammar has resulted in a rather skewed view of the discipline's development. This neglect is, however, slowly being remedied.

# 2.2 Kārakas in the Vīracōliyam

The VC contains two sections that treat the description and assignment of case: the  $v\bar{e}r\underline{r}umaip\text{-}patalam$  "Section on Case" and the  $upak\bar{a}rakap\text{-}patalam$  "Section on the Sub- $K\bar{a}rakas$ ." As I have treated the former at some length elsewhere, I will here only mention a few relevant details from the former before moving onto my study of the  $k\bar{a}rakas$ . Puttamittiran links the cases to the  $k\bar{a}rakas$  in VC 34 & 35 using much the same syntax as the Sanskrit grammarians, i.e., the case in the nom. and the  $k\bar{a}raka$  in the loc. or oblique standing for a loc. Although the nominative is also reserved for expressing merely the meaning of a nominal stem (VC 33), we do not find any rule similar to P. 3.2.1 anabhihite much like in the Kā.

In the  $upak\bar{a}raka$ -paṭalam (VC 38–43), the  $k\bar{a}raka$ s that were initially listed in (VC 29) are now defined at the outset (VC 38), exemplified in a sentence (VC 39), and subdivided into 23  $upak\bar{a}raka$ s "sub-factors" (VC 40–41). Thereafter comes a set of exceptions to the general correspondences between the cases and the  $k\bar{a}raka$ s set out in the  $v\bar{e}r\underline{r}umaip$ -paṭalam, some of which appear to be more descriptive of Sanskrit than Tamil usage (VC 42–43). This section of the VC provides us with some of the richest material for comparison with the Sanskrit sources because of the specificity necessary in naming and defining of the  $k\bar{a}raka$ s and their subdivisions. In the present section I will analyze the definitions in light of the Sanskrit sources and then turn to the  $upak\bar{a}raka$ s, a term that does not occur in Sanskrit in the same meaning, to the best of my knowledge, though its meaning is clear. Since I have already discussed the

<sup>19</sup> Of the non-Pāṇinian grammars that predate the VC, the JV follows the A most closely in both general layout as well as the reproduction of Pāṇini's theoretical scheme, although by incorporating various corrigenda and addenda from the MBh and shortening technical terms, Devanandin achieves greater accuracy and brevity than Pāṇini. The *kārakas* are treated in JV 1.2.109–126 and the assignment of the case endings in JV 1.4.1–1.4.77.

<sup>20</sup> I have in mind the *Cāndravyākaraṇa* (CV) of Candragomin (5<sup>th</sup> cent.) and the *Kātantra* (Kā.) of Śarvavarman (4<sup>th</sup> cent.?). These are two non-Pāṇinian schools of grammar that were quite popular in premodern times, the former almost exclusively in Buddhist circles. Cf. Scharfe (1977, p. 167ff.). For a study of the case systems in each grammar, see Gornall (2014) and Shen (2014), respectively. I will return to these below.

<sup>21</sup> Cf. Shen (2014, p. 48 fn. 109).

<sup>22</sup> *Upa* often occurs in the sense of "subordinate, subtype" of the noun it is attached to, much like English "sub-", e.g. *upa-netra* "sub-eye, glasses", *upa-dvīpa* "sub-island, minor island". Hence, *upakāraka* is a "sub-*kāraka*". This meaning of *upa* stems from its more general sense of *samīpa* "proximity". Cf. *Viṃśatyupasargavṛtti* p. 40.

peculiarities of the Tamil names for the  $k\bar{a}raka$ s and their likely sources elsewhere, I will focus on the definitions themselves.

The six *kārakas* listed in VC 29 and defined in VC 38 are the following: 1) *karuttā* (= Skt. *kartṛ*) "agent", 2) *karumam* (= Skt. *karman*) "patient", 3) *karaṇam* (= Skt. *karaṇa*) "instrument", 4) *kōḷi* "recipient", 5) *avati* (= Skt. *avadhi*) "limit", 6) *ātāram* (= Skt. *ādhāra*) "base". The final three differ from their respective Pāṇinian counterparts, *sampradāna* "recipient", *apādāna* "departure", and *adhikaraṇa* "locus". The reason for the last two is that Puttamittiran follows the terminology used in the CV, namely *avadhi* in CV 2.1.81 and *ādhāra* in CV 2.1.88. <sup>23</sup> *kōḷi*, the only *kāraka* with a Tamil name – the remainder are direct borrowings from Sanskrit with the necessary phonological/orthographic adaptations – has been translated, I have argued, because according to some grammarians the Sanskrit term is expressly *anvartha* "etymologically significant", whereas the other *kārakas* are not. This departure from the Pāṇinian model continues when we examine the definitions given for the *kārakas* and further demonstrates the importance of the non-Pāṇinian schools in the dissemination of Sanskrit grammar.

For each of the six  $k\bar{a}raka$ s Pāṇini has given one, at times quite complex, primary definition and then several supplementary definitions under specific lexical or semantic conditions. Later Sanskrit grammarians of non-Pāṇinian schools do not uniformly follow these definitions and there is often much simplification in wording. <sup>24</sup> Puttamittira has likewise taken a simpler approach, one that mirrors what we find in the Kā., and its primary commentary, the *vṛtti* by Durgasiṃha (6<sup>th</sup> - 8<sup>th</sup> cent.?). To demonstrate this most effectively it is worth citing VC 38 in full along with parts of its commentary.

VC 38 mētaku nal tolil ceyvān karuttā. viyan karuvi tītil karaņam. ceyappaṭṭatu ākum tiral karumam. yātanin nīnkum avati atu ām. iṭam ātāram ām. kōtu aru kōli man kolpavan ākum koṭiyiṭai-ē. 25
The karuttā "agent" is the one who performs an eminent, good deed; The faultless karaṇam "instrument" is the excellent means; The strong karumam "patient" is what is done; The avati "limit" is that from which one departs; The ātāram "base" is the place; The blemish free kōli "recipient" is the permanent one who receives, O vine-waisted girl!

- 23 The ādhāra is likewise given in place of adhikarana PM 3b.
- 24 The CV has not defined the *kārakas* but nevertheless assumes such a system and freely uses the names of the *kārakas* (e.g. CV 2.1.62f.) as well as "*kāraka*" itself, as in CV 2.2.16 *kārakam bahulam*. The JV 1.2.109–126 retains the same basic scheme as Pāṇini but incorporates the many suggestions made in the MBh for improving and economizing Pāṇini's rules. The Kā. defines the *kārakas* in Kā. 2.4.8–15 but these are significantly different and less complex than Pāṇini's, though the presence of his rules can still be felt.
- 25 Out of consideration of space I have only given the Tamil text in transliteration and without proper sandhi.

If we compare these definitions with the corresponding ones in the A, the rather introductory and pragmatic level of Puttamittiran's text becomes clear. For example, in the A the *karman* is defined as *kartur īpsitatam* "what is most desired on the part of the agent" (P. 1.4.49); the agent is *svatantraḥ* "independent" (P. 1.4.54). The Tamil definitions will, however, sound slightly less shallow if we recall that, save  $k\bar{o}li$ , the names of the  $k\bar{a}raka$ s are in Sanskrit whereas the definitions are in Tamil so that one also gets the feeling of an explanation of a foreign technical term. Still, the definition for  $k\bar{o}li$  — the recipient is the one who receives — cannot but sound tautological. But leaving Pāṇini aside, we find parallels in the Kā. and its viti. To demonstrate this, let us look at how two  $k\bar{a}raka$ s are defined in the respective texts.

1) karuttā / kartr

VC 38 mētaku nal tolil cevvān karuttā.

The karuttā "agent" is the one who performs an eminent good deed.

Gloss: yāt' oru tolilaic cevān evan, avan karuttāk-kārakam ām.

The one who performs some action is the agent-kāraka.

Kā. 2.4.16 yah karoti sa kartā.

The agent is the one who performs.

Gloss: yaḥ kriyām karoti sa kartṛsamjño bhavati.

He who performs an action has the technical term "agent".

2) avati / apādāna

VC 38 vātanin nīnkum avati atu ām.

The avati "limit" is that from which one departs.

Gloss: yātonrinrum oru porul nīṅkuvatu akt' avatik-kārakam ām.

That from which an object departs is the limit-kāraka.

Kā. 2.4.8 yato 'paite bhayam ādatte vā tad apādānam.

The *apādāna* is that from which one departs, (of which) there is fear, or (from which) one receives.

Gloss: yasmād apaiti yamād bhayaṃ bhavati yasmād ādatte vā tat kārakam apādānasaṃjñaṃ bhavati.

That  $k\bar{a}raka$  from which one departs, of which there is fear, or from which one receives has the technical term "departure".

There are several striking parallels between the Sanskrit and Tamil texts, in particular Peruntēvan's gloss. At the level of syntax, both make use of relative clauses, <sup>26</sup> a construction that was originally foreign to Tamil. <sup>27</sup> Although Puttamittiran only uses the relative construction for *avati*, Peruntēvan uses it in his gloss on all six *kārakas*. In fact, I have so far not been able to find any further

<sup>26</sup> The relative pronouns in Tamil are *evan*, *yātanin*, and *yātonṛinṛum*. In Sanskrit: *yaḥ*, *yato* (*yataḥ*), *yasmād*.

<sup>27</sup> Relative clauses with relative pronouns are infrequent and of a late appearance in Classical Tamil. They are clearly in imitation of Sanskrit syntax.

occurrences of this relative clause construction in the VC and its commentary. Its frequency here must be attributed to the imitation of the Sanskrit definitions, in all likelihood those of the Kā. 28 With regard to lexical choice, Puttamittiran and Peruntēvan both have recourse to the root ceytal "to do, perform" in defining the agent just as in the  $K\bar{a}$  and its gloss with the Sanskrit equivalent kr "to do, perform". The same parallel also arises for the definition of karumam / karman<sup>29</sup> and to a somewhat lesser extent in the case of karana. 30 The definition of the two remaining kārakas, ātāram and kōli, show even less similarity to what we find in the Kā. and its vrtti besides the relative clause construction. This need not erase the significance of the other parallels since I do not wish to argue that Puttamittiran and Peruntēvan were translating the Kā. sūtras or its commentary into Tamil. Rather, the VC represents more of an attempt to import the general ideas about language from Sanskrit into Tamil, and I believe I have sufficiently shown that the general framework for the definitions of the *kāraka*s derives from what we find in the Kā. school as opposed to any of the other schools current at the time.<sup>31</sup> This fits well with the Buddhist environment in which the VC was produced, since the Ka., though generally popular in India, traveled with Buddhists into Tibet already in the first wave of translations.<sup>32</sup>

## 3 THE 23 UPAKĀRAKAMS

# 3.1 The Origins of the Upakārakams

In addition to a general definition for each  $k\bar{a}raka$ , Pāṇini adds a number of additional sūtras that describe other conditions under which a noun may be labelled a particular  $k\bar{a}raka$ . For example, the karman "patient" is not only what is most desired to be obtained on the part of the agent, <sup>33</sup> but also what is "similarly related

- 28 The Pāli Grammars, *Kaccāyanavyākaraṇa* by Kaccāyana 143ff. and *Saddanīti* (SN) 548ff. by the Burmese monk Aggavaṇṣa (12<sup>th</sup> cent.), also use relative clause constructions in defining the *kāraka*, most likely also under the influence of the Kā. Cf. Kahrs (1992 p. 33f.). We will encounter further similarities between the VC and SD below.
- 29 In both languages the patient is, prosaically, "that which is done"- Kā. 2.4.13 yat kriyate tat karma. Commentary ad VC 39 yāt' onru ceyyappaṭṭatu atu karumam ām.
- 30 The overall construction is no longer parallel, but the use of the verb "to do" remains. Kā. 2.4.13 *yena kriyate tat karanam* "the instrument is that by which (something) is done," is more concise than the Tamil gloss on *karanam*: attolilinaic ceytarkuk karuvi āyirru yātu atu karanak-karanam "whatever is the means for doing that action is the instrument-kāraka."
- 31 The glosses of Pāṇini's *kāraka* rules also usually contain relative constructions, e.g. KV ad P. 1.4.24, but the relative clauses serve mostly to give the defining descriptors of the *kāraka* from the sūtra.
- 32 On the Tibetan translations see (Verhagen 1994, p. 48–84). As noted above, the Buddhist Pāli grammars also appear to have made use of the Kā. The main commentator on the Kā., Durgasiṇha, was also Buddhist.
- 33 P. 1.4.49 kartur īpsitatamam karma.

but not desired" and what "is not denoted by any other  $k\bar{a}raka$ ". Kātyāyana, whom Patañjali follows, makes further semantic distinctions among the *karman*, classifying some as *nirvartyamāṇa* "being created" and others as *vikriyamāṇa* "being modified" in an effort to restrict the application of P. 3.2.1. It is Bhartṛhari, however, who collects these subtypes into  $k\bar{a}rik\bar{a}s$  and presents them in the  $S\bar{a}dhana$ -samuddeśa, the seventh section of the  $Padak\bar{a}n\dot{q}a$  of the VP, which deals with the  $k\bar{a}rakas$ . The subtypes of karman, which are spread out in the MBh, are presented together in VP 3.7.45–48, though not every  $k\bar{a}raka$  receives such a clearcut subdivision. Bhartṛhari was, therefore, responsible for systematizing and deepening the discussion about the  $k\bar{a}raka$ s and gave the impetus for later grammarians to simplify his presentation of the  $k\bar{a}raka$  subtypes and modify them as was seen fit.

One text that lays out only the number of subdivisions for each  $k\bar{a}raka$ , 23 in total, is a set of 26 verses on the basics of Sanskrit grammar<sup>36</sup> according, more or less, to the Pāṇinian system and which generally goes by the name  $V\bar{a}rarucasam-graha$ . In order to know the names of each subtype, however, a commentary is necessary,<sup>37</sup> and based on the specific names of the sub- $k\bar{a}rakas$  I wish to demonstrate that the commentary attributed to Dharmakīrti must have been known to Puttamittiran and Peruntēvan. In manuscripts this commentary along with the verses are sometimes referred to as the Prayogamukha, and I will also use this title out of convenience for both the main text and commentary.<sup>38</sup> Further information about the text's authorship and place of composition are unfortunately unknown, although the distribution of manuscripts containing the text makes its popularity across the subcontinent indisputable and its influence on the VC places it at least as far back as the beginning of the second millennium.<sup>39</sup> Furthermore, the PM must

<sup>34</sup> P. 1.4.50 tathāyuktam cānīpsitam and 51 akathitam ca.

<sup>35</sup> MBh II.94.2-3.

<sup>36</sup> The topics covered are: *kāraka*s, compounds, secondary suffixes, personal endings, and primary suffixes.

<sup>37</sup> There are multiple extant commentaries, atlhough only two are now published. Cf. the entry under *prayogamukha* in the New Catalogus Catalogorum vol. 13 (Veezhinathan 1991, p. 64).

<sup>38</sup> This work has a complicated transmission history, and there is much variation in the commentary in the mss., the details of which far exceed the bounds of this article. I will refer to the *Prayogamukha* with Dharmakīrti's commentary as published in Śaśinātha Jha's edition of the *Prayoga-Pallava* as an appendix (p. 148–205). I have not yet been able to locate a copy of the editio princeps by M. Rangacarya, 1927, cited in Coward & Raja (1990, p. 476). Another commentary, *Dīpaprabhā* by Nārāyaṇa, has been published by Gaṇapati Śāstrī (1913).

<sup>39</sup> To the best of my knowledge, the earliest citation of the PM in a Sanskrit work is to be found in the *Durghaṭavṛti* by Śaraṇadeva (12<sup>th</sup> cent.) who cites **by name** the PM ad P. 1.4.52, 3.3.128, and 8.1.4. Cf. Renou (1940, p. 60f.). The first citations are from the commentary and the last is *kārikā* 15b. We have, therefore, evidence that both the *kārika*s and the commentary went by the same name in the 12<sup>th</sup> cent. Perhaps not surprisingly, Śaraṇadeva was also a Buddhist.

have had strong currency among Buddhist circles. In addition to influencing the VC, it was also, as I will show, used by Aggavamsa for his Pāli grammar, the Saddanīti, and travelled to Tibet in the first wave of Sanskrit grammatical works to be translated as part of the collection Bstan-'gyur "Translation(s) of the (exegetical) treatises (i.e. commentaries and compendia)" in the early 14th century, under the title Rab-tu-sbyor-ba'i-sgo'i-'grel-pa or Prayogamukha-vrtti. 40 Despite this popularity, other works of Sanskrit grammar do not follow the PM in listing the subtypes or even the same number of subtypes of kārakas when they are given. In commenting on the relevant sūtras in the A, grammarians are more likely to quote from the VP and repeat the divisions found there. In fact, I am not aware of any works within the Sanskrit grammatical tradition that presents the exact same scheme as in the PM. 41 In Tamil, we find renewed interest in the topic some centuries later in the *Piravōkavivēkam* by Cuppiramaniya Tīksitār (17<sup>th</sup> cent.), which has long been known to be a translation of, or at least closely modeled on, the Vārarucasamgraha, or however the text was known at the time. I will return to this work at the end of the next section.

# 3.2 The Prayogamukha and the Upakārakams in the Vīracōliyam

Both the PM vv. 1–7 and the VC 40–41 divide the six  $k\bar{a}raka$ s into 23 subtypes. <sup>42</sup> As noted above, for the precise names of these subdivisions we must rely on a commentary, and it is evident that Puttamittiran was familiar with the list as Dharmakīrti gave it. This should come as no surprise given that both texts were written in Buddhist circles. The later commentary of Nārāyaṇa, by way of comparison, shows a number of divergences and follows more closely the Pāṇinian school. A full exposition of each  $k\bar{a}raka$  along with its examples would far exceed the scope of this paper, so at present I will only discuss here  $2 k\bar{a}raka$ s in detail, the  $kartr / karutt\bar{a}$  "agent" and the  $samprad\bar{a}na / k\bar{o}li$  "recipient". Nevertheless, this will be sufficient to prove the relation between the texts.

The agent is said to have five subtypes in PM 2a, which are then specified in the commentary on p. 151f.

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Kartṛ "agent" – 5 subtypes: 1. svatantra- "independant-",
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<sup>40</sup> Cf. Verhagen (1994, p. 73-76).

<sup>41</sup> Cf. Puruṣottamadeva's Kārakacakra (12<sup>th</sup> cent.), which does not give subtypes for all kārakas. On the other hand, in his compendious Śṛṇgāraprakāśa p. 235, Bhoja (11<sup>th</sup> cent.) presents eighteen subtypes of kārakas, three for each: kartrādīnāṃ ca ṣaṇṇām api pratyekaṃ triprakāratvād aṣṭādaśaprabhedā bhavanti. There is some overlap with the presentation in the PM.

<sup>42</sup> PM 1cd *bhedās trayorviṃśatidhā punaḥ* "But the divisions (of the *kārakas*) are 23-fold." VC 41 *irupattumū vakik kārakam-ē* "the *kārakas* have 23 divisions." The SN also knows a list of 23 subtypes, although alternative classifications are given as well.

- 3. karma- "patient-",
- 2. hetu- "cause-",
- 4. abhihita- "expressed",
- 5. anabhihita-kartr "unexpressed-agent".

All of these categories can be traced back to the A itself. The first two derive from P. 1.4.54 and 55, the two definitions of *kartr* given in the  $k\bar{a}raka$  section of the A. The former defines the *kartr* as the one independent (*svatantra*) in effecting the action; the latter accounts for the agent of causation in causative constructions by also terming the instigator (prayojaka) of an independent agent kartr "agent", whereby it also receives the designation hetu "cause". Thus in sentences such as in "John makes Bill cook," Bill is independent with respect to carrying out the act of cooking, but John instigates Bill to act. In Sanskrit such an agent is termed the hetukartr. The third type, the karma-kartr, refers to the agent in constructions like pacvata<sup>43</sup> odanah svayam eva "The rice cooks by itself," where the Sanskrit grammarians conceptualize the agent, in this case the rice, as also acting like the patient (karmavat) according to P. 3.1.87. The final two categories harken back to the heading sūtra P. 2.3.1 anabhihite discussed above and indicate where the agent*kāraka* is expressed. When the agent is expressed (*abhihita*) — we must understand expressed by the personal ending on the associated verb — the agent stands in the nominative case, i.e., we have an active construction. When the agent is not expressed (anabhihita), the personal ending on the finite verb expresses the karman (or bhāva "the action itself"), and the agent is consequently put in the instrumental, i.e., we have a passive (or impersonal) construction. Furthermore, these last two categories apply concomitantly to a *kartr* that already has one of the first three labels. 44 E.g., a svatantra-kartr can be either abhihita or anabhihita depending on whether the main verb is active or passive. In essence, this fivefold classification does not add anything new to what we find already in the A nor does it add any particular insight into the nature of the agent. It is, however, a handy way for beginning students to identify agents according to the sūtra primarily responsible for their derivation and whether the sentence is active or passive. As yet, I am not aware of any other Sanskrit text that gives this exact list, 45 although the SN recognizes the exact same fivefold classification.<sup>46</sup>

<sup>43</sup> pacyata is a sandhi form for pacyate before vowels other than short a.

<sup>44</sup> The karma-kartr, however, must always be expressed (abhihita) by the verbal ending.

<sup>45</sup> Usually we find a threefold classification that leaves out the *abhihita-* and *anabhihita-kartrs*. Cf. Singh (1981, p. 203) for other works that follow the threefold classification.

<sup>46</sup> Commentary ad SN 548: api ca abhihitakattā anabhihitakattā cā ti ime dve te ca tayo ti kattūnam pañcavidhattam api icchanti garū. "Given these two: the expressed agent and unexpressed agent, and those three (sc. suddhakattā, hetukattā, and kammakattā already discussed), the teachers also accept the agents to be fivefold." Cf. Kahrs (1992, p. 37f.). Vergiani (2013, p. 187) has already noted that the classification of the kamma in the Saddanīti reflects VC's upakārakams for the karumam.

Turning now to the VC, we find the following five *upakārakam*s "sub-*kāraka*s" listed for the *karuttā*:

Karuttā "agent", 5 upakārakams:

- 1. kāraņak-karuttā "cause-agent",
- 2. tān teri karuttā "self evident agent",
- 3. tān teriyāk karuttā "non self evident agent",
- 4. karumak-karuttā "patient-agent",
- 5. talaimaik-karuttā "head-agent".

In analyzing these five terms, we may look at both the method by which the Sanskrit words were adopted at the lexical level into the Tamil language as well as the semantics of each term within the context of Tamil grammar. In sum, Puttamittiran has employed two basic methods at the lexical level: direct borrowing with only phonological/orthographic adaptations and translations/calques, which attempt to mimic the structure and meaning of the Sanskrit original. There is, however, some interesting grey area that requires more explanation. With regard to the Tamilized term's function in the grammar there is both continuity as well as nuanced modifications to better fit the peculiarities of Tamil and the grammatical system developed by Puttamittiran and Peruntevan. I must emphasize, however, that we are almost entirely dependent on the commentator for understanding the details of these technical terms and in my analysis below I rely entirely on his explanations and examples.

Among the five technical terms, only the term *karumak-karuttā* "patient-agent" is a direct borrowing. It is simply the Sanskrit word *karma-kartṛ* with the necessary phonological/orthographic adaptations for Tamil, such as splitting the consonant clusters *rm* and *rt* with a *u*. Peruntāvan also understands the term to have a similar meaning as in Sanskrit, i.e., the subject of a sentence which acts as both the patient and the agent, but in application there are nuanced differences on account of the Tamil verb system. Let us turn to our first example:

Comm. ad VC 40 p. 42: nanmai<sub>nom.</sub> tānē **veļippaţum** vilumiyōr pakkal enpuli, nanmaiyaic<sub>acc.</sub> cāttan **veļippaţuttinān** enrār pōla nanmai karumamāyk karuttāp pirit' onrāy nillātu karumamun karuttāvun tānēy āy nirralāl, karumak-karuttāv āyirru.

When one says "Goodness<sub>nom.</sub> arises (velippatum) by itself ( $t\bar{a}\underline{n}$ - $\bar{e}$ ) in excellent people," because (the word) "goodness", just as in (the sentence) "Cāttaṇ made goodness<sub>acc.</sub> arise ( $velippatuttin\bar{a}\underline{n}$ )," (but) being the patient without any other agent, occurs by itself as both the patient and the agent, it has become the patient-agent.

<sup>47</sup> Cf. Chevillard 2009 for a very thorough discussion of possible translation techniques from Sanskrit into Tamil.

In Tamil the verbal root under discussion is *velippaţu* "to appear, arise", which can be further analyzed as a combination of *veli* "outside" and the verbalizer *paţu* "to experience, undergo". The root is intransitive when conjugated as a so-called "weak" verb, i.e., *velippaţutal*, as it is in *velippaţum* in the example. When, however, the root is conjugated as a "strong" verb, i.e., *velippaţututalal*, it is causative and hence transitive "to make appear, arise, to reveal", as it is in *velippaţuttinān* in the example. Peruntēvan sees the parallel between the two conjugations of *velippaţu*, but does not analyze them in the way I have just done. Instead, he sees *velippaţu* as inherently taking two arguments, an agent and a patient. When both are present, we have the causative/transitive conjugation, but when the agent is missing and only the patient is present, we have the intransitive conjugation, and the patient also acts as the agent. Implicit in Peruntēvan remarks is that the morphology is dependent on the type of *karuttā*.

If we return now to the Sanskrit example pacyata odanah svayam eva "the rice cooks by itself," we find a number of similarities. To begin with Peruntēvan imitates svayam eva with tān-ē, a satisfactory Tamil translation. Additionally one can also see in the Tamil example the notion of *sukaratā* "being easily performed", one of the semantic nuances in using the karma-kartr in Sanskrit, 48 for surely goodness arises very easily in excellent people. With regard to morphology, however, the two constructions are not exactly parallel. pacyate is the 3<sup>rd</sup> pers. sing. pres. pass. of the root pac. By the time of the VC, Tamil also had a passive, described in VC 81, and formed with an infinitive plus patutal, as in atappattatu "it was cooked." Interestingly, Peruntēvan does not try to imitate the Sanskrit morphology, which would not have been idiomatic, but instead found another pair of verbal forms whose morphology is triggered by the type of karuttā. The other example composed by Peruntevan also follows this pattern: anpu ketum tīyōr pakkal "Love perishes in sinful people." The verb ketu can be conjugated as either weak (ketutal) "to perish" or strong (ketuttal) "to cause to perish, to destroy" with the same intransitive/transitive distinction noted for *velippatu*. The application of the category of karumak-karuttā to Tamil does not parallel what we find in Sanskrit, above all because the construction in Sanskrit is relatively rare and requires passive morphology, but Peruntevan has still adopted the category to describe a prominent morphological phenomenon in Tamil verbal conjugation with some similarities to the Sanskrit counterpart. 49

<sup>48</sup> Cf. MBh II.67.20.

<sup>49</sup> For a similar but in many ways different construction according the T, cf. Vergiani (2013, p. 178f.).

The  $k\bar{a}ranak$ - $karutt\bar{a}$  is the equivalent of hetu-kartr "the causal agent", but hetu "cause" has been replaced with the synonym  $k\bar{a}ranam$ , <sup>50</sup> a seemingly unmotivated change given that hetu was well established in Tamil as  $\bar{e}tu$  by Puttamittirang's time. This alternation could be chalked up to metri causa or simply the fancy of Puttamittirang, but one could also see the choice as being based on an attempt to bring the causal agent in a closer etymological relation to the name for causative verbs, namely,  $k\bar{a}ritam$  in VC 65, a technical term likely borrowed from the  $k\bar{a}$ . Both  $k\bar{a}rana$  and  $k\bar{a}rita$  are derivatives from  $k\bar{a}ri$ , the causative root of kr "to do". With regard to function, the  $k\bar{a}ranak$ - $karutt\bar{a}$  is identical to its Sanskrit counterpart: it describes the instigator of another agent in a causal construction, as the examples make clear. <sup>52</sup>

The three remaining terms are attempts to translate into Tamil Sanskrit technical vocabulary. The translation of *svatantra* as *talaimai* "headship, superiority" fits well with the standard meaning associated with *svatantra* in the commentaries, which usually include *pradhāna* "principal, most important thing". <sup>53</sup> Based on the examples and Peruntēvaṇ's explanation the *talaimaik-karuttā* functions the same as its Sanskrit equivalent.

The last two terms are perhaps the most complex in so far that their source, (an-) abhihita, has a very technical meaning within the Pāṇinian system of grammar (explained above on p. 2f.) and the general concept is not explicitly adopted in the VC. As a result, Peruntēvan understands the two terms to indicate whether or not a  $k\bar{a}raka$  is unambiguously expressed at the level of morphology, not whether the  $k\bar{a}raka$  is expressed by the personal ending on the verb. A  $t\bar{a}n$  teriyāk karuttā "nonself evident agent" describes the agent- $k\bar{a}raka$  that is denoted by the nominative case. It is not "self evident" because one must first check the finite verb to determine which  $k\bar{a}raka$  the noun in the nominative has. If the verb is active, the nominative is an agent; if it is passive, the patient. Hence, a  $t\bar{a}n$  teriyāk karuttā occurs in active sentences in the nominative. A  $t\bar{a}n$  teri karuttā "self evident agent" refers to an agent in the reverse situation where the morphology on a noun makes it clear that it is the agent without recourse to the verb, i.e., passive sentences in which the agent is expressed by the instrumental. <sup>54</sup> In short, the  $t\bar{a}n$  teriyāk karuttā

<sup>50</sup> Both the Sanskrit and Tamil dictionaries give kāraṇa as a synonym for hetu. Cf. Amarakośa 1.3.28c (kālavarga): hetur nā kāraṇaṃ bījam and Tivākaram 8.207 nipamum...ētuvum... kāraṇam

<sup>51</sup> Cf. Kā. 3.2.9f. The causal agent, however, is still referred to as hetu in Kā. 2.4.15 etc.

<sup>52</sup> Commentary ad VC 40 p. 42: *cāttan korranai añcuvittān* "Cāttan made Korran be afraid." *añcavittān* is a causative form of *añcutal* "to be afraid".

<sup>53</sup> Patañjali is the first to associate *tantra*, which *pradhāna* ad P. 1.4.54. MBh I.338.20: *svapradhāna iti gamyate* | *tad yaḥ prādhānye vartate tantraśabdas tasyedam grahaṇam* || "(*svatantra*) is understood as self-important. So, here there is mention of the word *tantra*, which occurs in the meaning of 'importance'." Cf. KV ad ibid. and AK 3.3.186a (*nānārthavarga*).

<sup>54</sup> That the instrumental also expresses the *karana* doesn't seem to bother anyone.

is found in active sentences in the nom., and the  $t\bar{a}\underline{n}$  teri karuttā is found in passive sentences in the instr. We can therefore equate the  $t\bar{a}\underline{n}$  teri karuttā with the anabhihita-kartṛ (both in passive constructions) and the  $t\bar{a}\underline{n}$  teriyāk karuttā with the abhihita-kartṛ (both in active constructions), an equation that becomes undoubtable when we look at the following examples along with Peruntēvaṇ's explanation.

Commentary ad VC 40 p. 42: korranāl<sub>instr.</sub> koļļappatṭatu vīţu enpulik karuttā itan terintu nirralāl, tān terikaruttāv āvirru.

When one says "the house was purchased by Ko<u>rran</u>instr," because the agent occurs with its (syntactic) place<sup>55</sup> known, it has become the self evident agent.

PM p. 153: anabhihitakartā. yathā: ...pacyata odanaḥ sūpakeṇa. <sup>56</sup> The unexpressed agent. For example: "The rice is cooked by the cook."

Commentary ad VC 40 p. 42: tēvatattan cōrrai aṭukinrān, enpulit tēvatattan ennuñ col tānē karuttā ennum iṭan terintu nillāmaiyānum, cōrrai ennum kārakapatattānum aṭukinrān ennum kiriyāpatattānum karuttā enru ariyappaṭutalānum, tān teriyākkaruttāv āyirru.

When one says "Tēvatattan is cooking rice<sub>acc.</sub>," the word  $t\bar{e}vadattan$  has become the non-self evident agent because the syntactic place "agent" does not occur as known, and because it is understood as the agent by means of the  $k\bar{a}raka$ -word "rice<sub>acc.</sub>" and by means of the action word (i.e., verb) "is cooking".

PM p. 152f.: *abhihitakartā. yathā*: ...*odanaṃ pacati sūpakāraḥ*. The expressed agent. For example: The cook cooks rice.

Peruntēvan clarifies that the itam, perhaps something like "syntactic place", i.e.,  $k\bar{a}raka$ , is known in the case of the  $t\bar{a}n$  teri karuttā. We can supply from context and the following example, that it is known immediately by the case ending. For the  $t\bar{a}n$  teriyāk karuttā, its syntactic place is only inferable by reference to the other words in the sentence. The examples from the PM confirm the functional relation between the Sanskrit and Tamil terms described above.

Now that the function and meaning of the terms are clear, we can return to the specifics of the translation. The phrases  $t\bar{a}n$  teri and  $t\bar{a}n$   $teriy\bar{a}$  are both built off the verb terital "to be known, evident" with the 3<sup>rd</sup> pers. sing. nom. pronoun  $t\bar{a}n$ ,

<sup>55</sup> The word *itam* cannot have here the more common technical meaning "grammatical person", but must refer to a *kāraka*, as can be seen from the following example where we have *karuttā ennum iṭam* "the place called agent". Dr. Vergiani (personal communication) has pointed out that one of the meanings of *iṭam* is "ability, power." Cf. *Pinkalam* 10.130, p. 289 *vīṭum valiyum paṭuppatum iṭam* "*iṭam* means house, strength, and what is effected." This would be a suitable translation Skt. *śakti*, one of the synonyms for *sādhana*. Cf. Helārāja ad VP 3.7.1: *kriyānirvṛttau dravyasya śaktiḥ sādhanam* "*sādhana* is the power of an object to effect an action."

<sup>56</sup> By the ellipsis I have omitted an explanation of the privative prefix and a few other comparable examples.

<sup>57</sup> kārakapatam is used in the VC to refer to nouns that have been assigned a kāraka.

often used as an emphatic particle like "itself" in English. Cf.  $t\bar{a}n\bar{-}\bar{e}$  in the examples for the karumak- $karutt\bar{a}$  above. teri is simply the bare root used in place of the relative participle teriyum;  $teriy\bar{a}$ , on the other hand, is the negative relative participle. The pair nicely reflects abhihita and anabhihita which are also without and with negation, respectively. As I have demonstrated, the positive Tamil form  $t\bar{a}n$  teri does not functionally correspond to the positive abhihita, nor the negated  $t\bar{a}n$   $teriy\bar{a}$  to anabhihita. The reason for this is given by Peruntēvan in the passage quoted above. One possible explanation for this oddity is that Peruntēvan took abhihita to refer to a  $k\bar{a}raka$  that is clearly expressed by the case ending on the word itself, not, as it is in Sanskrit, to a  $k\bar{a}raka$  expressed by the personal endings on the main verb ( $inter\ alia$ ). On account of this mismatch, which we can only attribute to Peruntēvan with certainty, we have the rather counterintuitive correspondence between the Tamil and Sanskrit terms.

As a brief aside, I note that these same two terms do not apply to the *karumam* in exactly the same manner and hence no longer exactly relate to the (an-)abhihita *karmans* in Sanskrit. The  $t\bar{a}n$  teri karumam is, as we expect, with an overt accusative ending and corresponds to the *anabhihita-karman* in the PM as can be seen from the corresponding examples:

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Comm. ad VC 41 p. 44: vīṭṭai<sub>acc.</sub> eṭuttānַ taccan.

"The carpenter built the house<sub>acc.</sub>."

PM p. 155 anabhihitaṃ karma yathā kaṭaṃ<sub>acc.</sub> karoti naraḥ.

"The unexpressed patient. For example: The man makes a matacc."
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The other pair, however, does not correspond in the same manner as the Sanskrit and Tamil agents did above. The *abhihita karman* refers to a patient that takes the nominative, the *karman kāraka* being expressed by the personal ending on the finite verb. This is the case in passive constructions as the example for *abhihita karman* in PM p. 155 shows: *kaṭaḥ kriyate devadattena* "A mat is made by Devadatta." In the VC the *tān teriyāk karumam* still refers to a patient in a sentence where the *karumam* is expressed by ambiguous morphology, but, based on the examples given by Peruntēvan, not to the expected passive construction. Rather, it refers to instances where the patient simply lacks an overt case ending in an active

<sup>58</sup> Cf. the examples ad *Saddanīti* 551 for the *abhihitakamma: mayā ijjate buddho...buddhādayo abhihitakammam nāma, ākhyātena paccayena vā kathitattā.* "The Buddha is worshiped by me...' *buddha* etc. are termed the expressed patient because (the patient-*kāraka*) is related by the verb or the suffix."

<sup>59</sup> For the sake of completeness, I must mention that Peruntēvan's commentary differs rather dramatically in some manuscripts from the printed editions. In a manuscript at the GOML, D. 91/TD 34 p. 57 (as numbered), we find the expected type of examples for the *tān teriyāk karumam*, namely passive constructions. The first example given is: *taccanāl eṭukkappaṭṭa vītu* "The house built by the carpenter."

construction, a common feature of Tamil. 60 Indeed, the VC expressly accounts for such unmarked accusatives by permitting the acc. suffix to sometimes be elided. 61 Accordingly, we have an example very similar to the one just given but without the acc. case ending -ai: vīţu taccan katţinān "The carpenter constructed a house." Given this reasoning, in the VC the terms tān teri and tān teriyā have come to refer to the presence or absence of an unambiguous case ending, the instrumental for the agent and the accusative for patient. For the agent there is a direct parallel with Sanskrit anabhihita- and abhihita-kartr, but because of a peculiarity of Tamil grammar, tān teriyāk-karumam also refers to the unmarked accusative (according to some versions of Peruntēvan's commentary), and this has no parallel in Sanskrit. 62

The other  $k\bar{a}raka$  I would like to discuss is the  $samprad\bar{a}na / k\bar{o}li$  "recipient". What sets this one apart from the  $kartr / karutt\bar{a}$  and the karman / karumam is that their subtypes have no direct link to any categories found in the A or MBh. For the three types of  $samprad\bar{a}na$  we must look to Bhartrhari. In adopting these categories into Tamil Puttamittiran was rather creative in finding satisfactory Tamil equivalents.

The *sampradāna* or  $k\bar{o}li$  "recipient" has three subdivisions in the PM and the VC:<sup>63</sup>

PM p. 156: katamat trividham sampradānam? prerakam ānumantrikam anirākartṛkam ca.

What are the three types of recipient? The instigator, pertaining to one who consents, <sup>64</sup> and pertaining to one who does not reject.

VC 40d: cīr aṇaṅku ārvam kiṭappu irappu ām kōḷi, tēmōliy-ē.

The recipient is affectionate, joined with goodness, circumstantial, (and) requesting, o girl with honey(-sweet) words!<sup>65</sup>

I would equate the terms as follows:  $\bar{a}rvam \sim \bar{a}numantrika$ ,  $kitappu \sim anir\bar{a}karana$ , and  $prerana \sim irappu$ .

- 60 In Tamil the accusative case ending is not obligatory on a direct object. Its absence is, however, more common with inanimate objects and more likely to occur when a specific object is spoken of.
- 61 Cf. VC 34 orukāl paiya alitarum "it (sc. the acc. suffix -ai) sometimes gently perishes."
- 62 It follows from this discussion that Vergiani (2013, p. 184 and 188) is not exactly correct to equate  $t\bar{a}n$  teri karumam with abhihita karman and  $t\bar{a}n$  teriyāk karumam with anabhihita karman. As was the case with the karuttās, the negative relative participle teriyā does not mirror the privative prefix  $na\tilde{N}$  (= an) in an-abhihita.
- 63 As does the SN. Cf. the commentary ad SN 533: tam pan' etam sampadānam tividhim hoti: anirākaran'-ajjhesanānumativasena "furthermore, this sampadāna (= Skt. sampradāna) is threefold: by the force of a lack of rejection, a request, or assent."
- 64  $\bar{a}$ numantrika is derived from anumantr, the agent noun of anu + man "to consent".
- 65 In Tamil *ārvam* "affection", *kiṭappu* "lying", and *irappu* "requesting" are all nouns, but since they modify *kōli*, I have translated them as adjectives.

All three of these are based on the causes for an object to gain the status of a *sampradāna* listed in VP 3.7.129:<sup>66</sup> *anirākaraṇa* "not rejecting", *preraṇa* "instigation", and *anumati* "consent". The idea is that someone can be termed "recipient" because the person does not reject, i.e., is indifferent to, the donation, instigates the giving of an object, or consents to receiving it. The three corresponding Tamil terms have all, to some degree, added semantic nuances that are not inherently in the Sanskrit, although I believe that Puttamittiran tried to reflect some of the contextual meaning that comes out in the examples associated with the each type of *sampradāna*.

Of the three subtypes of  $k\bar{o}|i$ , the  $irappuk-k\bar{o}|i$  "requesting recipient" has the clearest link with its corresponding Sanskrit term, preraka "instigator" and may be considered as a simple translation. Examples for this subtype involve donating to Brahmans<sup>67</sup> and giving alms to beggars, <sup>68</sup> because such people first ask for what they receive. The  $kitappuk-k\bar{o}|i$  "circumstantial recipient" appears, at first blush, to not fit well with  $anir\bar{a}karana$  "non-rejection". Neither the privative prefix a- nor the semantics of the Sanskrit original ("rejection") are replicated in the Tamil. Nevertheless, the meaning of kitappu, a  $nomen\ actionis$  from the verbal root kitattal "to lie, sleep, be inactive", actually reflects the gist of the  $anir\bar{a}karana$  rather well. A kitappu-recipient is simply there, not doing anything, not rejecting the offering, just standing around hence, "circumstantial". These are recipients who do not need the given object and so have not compelled the donor to give it. In the examples we find deities and memorials as the circumstantial recipients of flowers, something they don't need or ask for. <sup>69</sup>

The  $\bar{a}rvak$ - $k\bar{o}li$  "affectionate recipient" is perhaps the furthest from its Sanskrit equivalent *anumati*, but the examples and explanation still reflect a similar conceptualization, even if expressed in different terms. Peruntēvan gives two examples for this *upakārakam*: giving food to ascetics and giving a place to guests. <sup>70</sup> The PM has a similar example involving the proper gifts for a

<sup>66</sup> Later grammarians often quote this verse in connection with P. 1.4.32. Puruşottamadeva cites a similar verse with different wording in his *Kārakacakra* p. 109.

<sup>67</sup> PM p. 156: *brāhmaṇāya gāṃ dadāti gṛhasthaḥ* "The householder gives a cow to the Brahmin." Comm. ad VC 40 p. 43: *antaṇarkkup ponkoṭuttāṇ aracan* "The king gave gold to the Brahmins."

<sup>68</sup> Comm. ad VC 40 p. 43: *iravalarkkup piccai itṭān*. "He gave alms to the beggars." The PM has only the example with the Brahmins, but other Sanskrit texts give examples with beggars, e.g., *Padamañjarī* ad KV ad P. 1.4.32, vol. 1 p. 546: *yācakāya bhikṣām dadāti* "S/He gives alms to the beggar." Cf. the Pāli example ad SN 553 *yācakānam bhojanam dadāti* "S/He gives food to the beggars."

<sup>69</sup> Comm. ad VC 40 p. 43: *tēvarkkup pūv iṭṭān* "He gave flowers to the gods." PM p. 156: *caityāya puṣpam dadāty upāṣakaḥ* "The worshipper gives flowers to the funeral monument."

<sup>70</sup> Comm. ad VC 40 p. 43: aruntavarkku ūṇ koṭuttāṇ. viruntiṇarkku iṭan koṭuttāṇ. "He gave food to the ascetics. He gave a place to the guests."

guest.<sup>71</sup> Peruntēvan then explains how *ārvam* "affection" is connected: aruntavar ārvattōtu kontamaiyānum karuttā ārvattōtu kotuttamaiyānum ārvak-kōliv āvirru. "Because the ascetics receive with affection and because the agent gives with affection, it has become the affectionate recipient." In contradistinction to the other two types of recipient, the acts of both giving and receiving involving an *ārvak-kōli* are performed willingly by the participants. Although I have not found any strict equivalent to this passage in Sanskrit texts, Peruntevan has still captured the basic idea expressed in the PM, that the ānumantrika recipient occurs when two conditions are fulfilled: the giver is not spurred on by the recipient, i.e., the gift is willingly given, and the recipient actively accepts the given object, i.e., the gift is graciously accepted. As the PM shows,<sup>72</sup> the *ānumantrika* recipient stands in contrast to the two other subtypes, the preraka recipient who instigates the act of giving and the anirākartrika recipient who does not actively accept the gift. Puttamittiran's choice to reframe this type of giving through arvam is intriguing and may be tied to the meritorious status accorded to donations in Buddhism, although it is certainly not restricted to Buddhism. I have also not been able to turn up any passages in the extant Buddhist literature where arvam serves as a key term, but further research might offer more clues.

# 4 Conclusions

The  $k\bar{a}rakas$  and 23  $upak\bar{a}rakams$  in the VC provide rich material for studying the transmission and extension of grammatical concepts in South Asia and beyond. To understand the evolution of their number and names in Tamil, one must begin with the A itself and follow the treatment of the  $k\bar{a}rakas$  in the hands of the latter commentators and grammarians both within and without the Pāṇinian tradition. Although one must have familiarity with the great works of Patañjali and Bhartrhari, I have shown that the influence of the non-Pāṇinian schools, such as the CV and Kā. also played an important role in how the  $k\bar{a}rakas$  are defined and named in the VC. Furthermore, the little studied PM with the commentary of one Dharmakīrti undoubtedly served as the basis for both the number and names of the  $upak\bar{a}rakams$  in the VC and likewise the  $k\bar{a}raka$  subtypes in SN. Given the additional translation of the PM with a commentary attributed to Dharmakīrti into Tibetan in the 14<sup>th</sup> century, one can safely conclude the PM travelled in Buddhist circles (but not to the exclusion of others) and had a great impact on Buddhist grammarians when they wrote grammars for other

<sup>71</sup> PM p. 156 atithaye phalamūlam dadāti tapodhanah. "The ascetic gives fruits and roots to his guest."

<sup>72</sup> PM p. 156 sa hy atithiḥ phalaṃ mūlaṃ ca dātuṃ na taṃ prerayati, tena ca dīyamānam anumanyate. "For the guest does not instigate him to give fruits and roots and accepts what is being given by him."

languages. That a relatively simple and concise work, like the PM, should have replaced the complex arguments and theorization found in the MBh and VP will come as no surprise for those familiar with these texts; it was surely because of its brief but nevertheless complete presentation of the  $k\bar{a}raka$ s and their subvarieties that the work was chosen as the basis for transmitting this topic into other languages. Further research, especially into the manuscripts of the PM and VC will undoubtedly reveal a more complex picture than the one I have presented here relying primarily on the printed editions.

The VC also provides us with further material for how Sanskrit terminology was conceptualized and adopted into Tamil, if even by a small community that was destined to disappear. For the names of the *upakārakams* I have discussed several techniques of Tamilizing Sanskrit: simple borrowing (*karumak-karuttā*), borrowing with some modification (*kāraṇak-karuttā*), literal translation (*talaimaik-karuttā*), and various types of adaptations that express the basic concept of the Sanskrit original but where the Tamil lexemes have a different meaning (*tān teri karuttā*, *ārvak-kōļi*, etc.). The translation of the technical vocabulary is evidence for a need to naturalize the language of grammar for its Tamil readership, an urge that was lost by the time of the PV in the 17<sup>th</sup> cent., a work in which much more Sanskrit terminology is simply borrowed with the necessary phonological changes.

Finally, I emphasize that I have only begun to scratch the surface of a potentially much larger project that would ideally involve a complete and systematic analysis of all 23 *upakārakas*, their counterparts in the both the PM and the SD, as well as the PV. Such work must, however, be founded on a better understanding of these texts' manuscript history and take into account the variability found therein. This task is reserved for a later point in time.

### ABBREVIATIONS:

A Aşţādhyāyī

CV Cāndravyākaraņa

JV Jainendravyākaraņa

Kā. Kātantravyākaraņa

KV Kāśikāvṛtti

MBh Mahābhāṣya

PM Prayogamukha

PV Pirayōkavivēkam

SN Saddanīti

T Tolkāppiyam

VC Vīracō<u>l</u>iyam

VP Vākyapadīya

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